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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HICKS et al.

Serial No.: 09/749,826

Filed: December 28, 2000

For: SYSTEM AND METHOD FOR MULTIMEDIA ON DEMAND SERVICES

**COMPLETION OF APPLICATION  
UNDER 37 C.F.R. 1.53(d)**

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Attn: Application Branch

Dear Sir:

Pursuant to 37 C.F.R. 1.53(d), counsel for Applicant encloses herewith the following documents to complete the subject application:

- 1) Declaration and Power of Attorney for Patent Application signed by Applicants;
- 2) Notice to File Missing Parts - Filing Date Granted; and
- 3) Six (6) sheets of formal drawings.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

Attached is our check in the amount of \$130.00 to cover the required fees for submission of these documents. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'JMR', is written over a horizontal line.

James M. Ross  
Reg. No. 42,115  
One of the Attorneys for Applicant  
Shaw Pittman  
1650 Tysons Boulevard  
McLean, Virginia 22102  
(703) 770- 7631

Attachments  
Date: March 26, 2001  
Case No.: BS00-343

**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5202

|                                    |   |                     |                               |  |
|------------------------------------|---|---------------------|-------------------------------|--|
| <b>SERIAL NUMBER</b><br>09/749,826 | <b>FILING DATE</b><br>12/28/2000<br><b>RULE</b> | <b>CLASS</b><br>375 | <b>GROUP ART UNIT</b><br>2631 | <b>ATTORNEY DOCKET NO.</b><br>BS00-343 |
|------------------------------------|---|---------------------|-------------------------------|--|

**APPLICANTS**

John Alson Hicks III, Roswell, GA;  
Randy Scott Zimler, Gainesville, GA;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 06/11/2001

|  |                               |                            |                           |                                |
|--|-------------------------------|----------------------------|---------------------------|--------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no   | <b>STATE OR COUNTRY</b><br>GA | <b>SHEETS DRAWING</b><br>6 | <b>TOTAL CLAIMS</b><br>42 | <b>INDEPENDENT CLAIMS</b><br>6 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                               |                            |                           |                                |
| Verified and Acknowledged  | Examiner's Signature          | Initials                   |                           |                                |

**ADDRESS**

James M. Ross, Esq.  
SHAW PITTMAN  
2300 N Street, N.W.  
Washington, DC 20037

**TITLE**

SYSTEM AND METHOD FOR MULTIMEDIA ON DEMAND SERVICES

|                                    |   |  |
|------------------------------------|---|--|
| <b>FILING FEE RECEIVED</b><br>1476 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | <input type="checkbox"/> All Fees                              |
|                                    |   | <input type="checkbox"/> 1.16 Fees ( Filing )                  |
|                                    |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |
|                                    |   | <input type="checkbox"/> 1.18 Fees ( Issue )                   |
|                                    |   | <input type="checkbox"/> Other _____                           |
|                                    |   | <input type="checkbox"/> Credit                                |

EHA



Receipt

**FILE COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: HICKS et al.

Serial No.: 09/749,826

Filed: December 28, 2000

For: SYSTEM AND METHOD FOR MULTIMEDIA ON DEMAND SERVICES

**REQUEST FOR CORRECTION OF FILING RECEIPT (PTO-103X)**

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**RECEIVED**

**JUL 19 2001**

**Technology Center 2600**

Dear Sir:

It is respectfully requested that the U.S. Patent and Trademark Office make the following correction on the filing receipt:

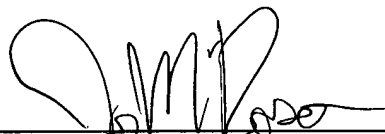
The title of the invention as shown on the filing receipt is incorrect. The correct title is "SYSTEM AND METHOD FOR MULTIMEDIA ON DEMAND SERVICES."

A copy of the filing receipt containing the error specified above is attached for your reference.

After the above-mentioned correction has been made, Applicant respectfully requests that the U.S. Patent and Trademark Office issue to Applicant a corrected filing receipt.

Any fees necessary for the consideration of this request should be charged to Deposit Account 50-1390.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'J. M. Ross', is written over a horizontal line.

James M. Ross  
Reg. No. 42,115  
One of the Attorneys for Applicant  
SHAW PITTMAN  
1650 Tysons Boulevard  
McLean, Virginia 22102  
(703) 770-7631

Attachments  
Date: March 26, 2001  
Case No.: BS00-343



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/749,826         | 12/28/2000  | 2631         | 1346          | BS00-343        | 6        | 42         | 6          |

SHAW PITTMAN  
2300 N Street, N.W.  
Washington, DC 20037



CONFIRMATION NO. 5202

## FILING RECEIPT



\*OC000000005747358\*

Date Mailed: 02/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John Alson Hicks III, Residence Not Provided;  
Randy Scott Zimler, Residence Not Provided;

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JUL 19 2001

Continuing Data as Claimed by Applicant

Technology Center 2600

Foreign Applications

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

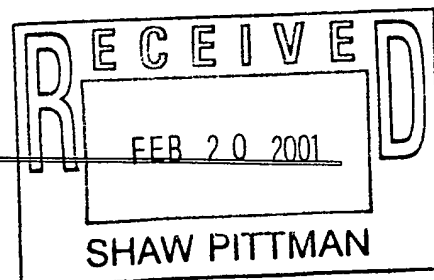
Early Publication Request: No

## Title

Digital residential entertainment system

Preliminary Class

375



**Data entry by :** AMARE, TSION

**Team :** OIPE

**Date:** 02/08/2001

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
BS00-343In Re Application Of: **HICKS et al.**Serial No.  
09/749,826Filing Date  
December 28, 2000Batch No.  
not yet assignedExaminer  
not yet assignedArt Unit  
2631Invention: **SYSTEM AND METHOD FOR MULTIMEDIA ON DEMAND SERVICES**Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

Six (6) sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

**RECEIVED**  
**JUL 19 2001**  
**Technology Center 2600**

A handwritten signature in black ink, appearing to be "JMR", written over a horizontal line.

*Signature***James M. Ross**  
**Reg. No. 42,115**  
**SHAW PITTMAN**  
**1650 Tysons Boulevard**  
**McLean, Virginia 22102**Dated: **March 26, 2001**

I certify that this document and attached formal drawings are being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence**Typed or Printed Name of Person Mailing Correspondence*

ShawPittman

202.663.8000

2300 N Street, NW, Washington, DC 20037-1128

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022201COM006a  
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02/22/2001  
95 114101 US

(Case No. BS00-343):  
Completion of Application  
fees

ShawPittman

202.663.8000

2300 N Street, NW, Washington, DC 20037-1128

First Union Bank  
of Washington, D.C. 20015

15-122/540

126886

Date: 03/02/01

\$\*\*\*\*\*130.00

PAY *One Hundred Thirty & no/100 Dollars*

TO THE ORDER OF

Commissioner of Patents &  
Trademarks

*Gary H Dacey*

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/749,826         | 12/28/2000          | John Alson Hicks III  | BS00-343               |

SHAW PITTMAN  
2300 N Street, N.W.  
Washington, DC 20037



CONFIRMATION NO. 5202

## FORMALITIES LETTER



\*OC00000005747359\*

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/27/2001 TBESHAH1 00000049 09749826

FILED UNDER 37 CFR 1.53(b)

01 FC:105

130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE